

U.S NATIONAL STAGE WORKSHEET (DO/EO)

U.S. APPL. NO. **09/856414**

INTERNATIONAL APPL. **DE99/03527**

APPLICATION FILED BY: 20 MOS., _____ OR 30 MOS., ☒ SCREENED BY **C. BERT**

INTERNATIONAL APPLICATION PAPERS IN THE APPLICATION FILE:

- ☒ International application
- ☒ Article 19 amendments
- ☒ Priority Document(s) No. **1**
- ☒ Request Form PCT/RO/101
- ☐ PCT/IB/302
- ☐ PCT/IB/304
- ☐ PCT/IB/306
- ☐ PCT/IB/308
- ☐ PCT/IB/331
- ☐ OTHER PCT/IB/ _____
- ☒ PCT/IPEA/409 also 416

- ☒ 409 annexes to IPER
- ☒ PCT/ISA/210 (Search report)
- ☒ Search report References
- ☐ Other Papers filed

WIPO PUBLICATION
PUBLICATION NO. **WO/00/31576**
PUBLICATION DATE **22 June 00**
PUBLICATION LANG., **German**
NOT PUBLISHED
☐ U.S. only ☐ Requested

RECEIVED FROM THE APPLICANT: (other than checked above)

- ☒ National application basic fee paid
- ☒ Express Processing Requested
- ☒ Translation of the International Application
- ☐ Used the IB copy of the IA
- ☒ Description
- ☒ Claims **33**
- ☒ Drawings **2**
- ☐ Foreign Language in drawing
- ☐ Article 19 Amendments
- ☐ Amendment used in application
- ☒ Article 34 Amendment
- ☐ Amendment used in application
- ☐ DNA
- ☐ 1194 transaction done
- ☐ Sequence listing

- ☐ Preliminary Amendment(s) filed _____
- ☐ second submission
- ☐ Information Disclosure Statement _____
- ☐ second submission
- ☒ Assignment
- ☒ Forward to Assignment Branch
- ☐ Substitute Specification _____
- ☐ Small Entity Statement _____
- ☐ type _____
- ☒ Oath/Declaration (date submitted **18 May 01**)
- ☐ Not executed
- ☒ Executed
- ☐ Power of Attorney
- ☐ Change of Address
- ☐ Sequence Letter

35 USC Receipt of Request (PTO - 1399 Transmittal Letter) **18 May 01**

Date Acceptable oath/declaration received **18 May 01**

102(e) Date **18 May 01**

Date complete 35 USC 371 requirements met **29 June 01**

DATE NOTICE COMPLETED

DO/EO 903 Notice of Acceptance

DO/EO 905 Notice of Missing Requirements

DO/EO 917 Notice of A defective oath or declaration

DO/EO 916 Notice of defective response

DO/EO 913 Notice of defective translation

DO/EO 909 Notification of Abandonment

DO/EO 920 Notification Nucleotide Sequence

09/856 414

1. FACE OF THE FILE

- ☒ 1. Printed and stamped serial number match bar code label.
- ☒ 2. Filing Date present.
- ☒ 3. Class/Subclass present
- ☒ 4. Applicant(s) name present.
- ☒ 5. Total no. of drawings present.
- ☒ 6. Total no. of claims present.
- ☒ 7. Total no. of Ind. Claims present
- ☒ 8. Filing fee received present
- ☒ 9. Mailing address present
- ☒ 10. Title of invention present

2. CENTER OF THE FILE

A. DRAWINGS

- ☒ 1. None (go to B)
- ☒ 2. Serial No. present & correct on each sheet
- ☒ 3. No. of sheets entered on line J of contents

B. SMALL ENTITY STATEMENT

- ☒ 1. None & not recorded on face of file (Go to C)
- ☐ 2. Statement present
- ☐ 3. Small entity recorded on face of file

C. DECLARATION OR OATH

- ☒ 1. Title matches face of file and specification
- ☒ 2. Declaration phrase present (I hereby declare all)
- ☒ 3. (Original and first inventor or inventors ...) Phrase present.
- ☒ 4. (Reviewed and understand the contents of the application including claims...) Phrase present.
- ☒ 5. (Acknowledge duty to disclose information in accordance with 1.56(a)...) Phrase present.
- ☒ 6. Residence, citizenship, post office Address of all applicants present
- ☒ 7. Signed by all applicants
- ☒ 8. Less than 3 months before filing date, Or less than 6 months after filing date.

D. CLAIMS (as filed)

- ☒ 1. Complete form 1360 and 875
- ☒ 2. Circle Ind claims on the Index of
- ☒ 3. Draw line under the last claim number On the Index of Claims.

E. SPECIFICATION

- ☒ 1. Serial no. Present and correct
- ☒ 2. Specification in permanent ink.
- ☒ 3. Brief description of each drawing figure.
- ☒ 4. No missing or duplicate pages.
- ☒ 5. No holes punched in text.

F. ABSTRACT

- ☒ 1. None (go to G)
- ☒ 2. Serial no. Present and correct
- ☒ 3. Abstract on separate page.
- ☒ 4. 25 lines or less.
- ☒ 5. One paragraph ONLY

G. PTO-1556

- ☒ 1. Present.

H. PRE-AMENDMENTS

- ☒ 1. None (go to I)
- ☒ 2. Enter on contents of file wrapper.
- ☒ 3. Instruction to cancel claims.
- ☒ 4. Claims canceled on Index of Claims.
- ☒ 5. Instruction to add claims.
- ☒ 6. Circle new independent claims on the Index of Claims.
- ☒ 7. Draw line under the new last claim number on Index of Claims.
- ☒ 8. Complete forms 1360 and 875.

I. PTO-948

- ☒ 1. Present.

3. RIGHT SIDE OF FILE

- ☒ 1. PALM File Data sheet present.
- ☒ 2. Transmittal letters present.
- ☒ 3. Forms 1360 and 875 present/entered
- ☒ 4. Miscellaneous Papers present/entered.
- ☒ 5. Petitions to Make Special present.
- ☒ 6. Drawing prints present (2 copies)

FEEES

1. Correct filing fee paid.
2. Excess claims fees paid:
 - a. Excess total claims more than 20.
 - b. Excess independent claims more than 3.
 - c. First multiple dependent claim fee paid.
3. Miscellaneous paper fee paid.

FINAL STEPS

1. Sign and date center of filewrapper under flap.
2. Docket to examiner.

NOTES TO SUPERVISOR:

NOTES TO EXAMINER:

SIGNATURE OF PREPARER:

Cawley

DATE:

11-22-02